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Developments in Mathematics

W.T. Ingram William S. Mahavier

Inverse Limits

From Continua to Chaos



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Contents

1. One-dimensional continua

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- 2. Inverse limits
- 3. Applications
- 4. Open problems

-1. One-dimensional continua

One-dimensional continua

X is a continuum:

- X is a compact metric space
- X is connected
 - A, B: open disjoint, $X = A \cup B \implies A = \emptyset$ or $B = \emptyset$

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X is non-degenerate (only in this talk!)

-1. One-dimensional continua

One-dimensional continua

X is a continuum:

- X is a compact metric space
- X is connected
 - A, B: open disjoint, $X = A \cup B \implies A = \emptyset$ or $B = \emptyset$
- X is non-degenerate (only in this talk!)
- A continuum X is one-dimensional if
 - ► X has a one-dimensional cover by arbitrarily small open sets
 - ► a cover U = {U₁,..., U_m} is one-dimensional (1D) if every x ∈ X belongs to at most 2 sets of U

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└─1. One-dimensional continua

Arc

-1. One-dimensional continua

Arc





-1. One-dimensional continua

Arc







-1. One-dimensional continua

Circle



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-1. One-dimensional continua



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-1. One-dimensional continua



► circle-chain

-1. One-dimensional continua

Topologist's sine curve — graph of $x \mapsto sin(1/x)$



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- not locally connected
- not arcwise connected

-1. One-dimensional continua



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-1. One-dimensional continua



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-1. One-dimensional continua





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- not locally connected
- arcwise connected
- separates the plane

-1. One-dimensional continua



-1. One-dimensional continua



1. One-dimensional continua

Arc-like, circle-like and tree-like continua

Nerve $N(\mathcal{U})$ of a 1D cover \mathcal{U} : an undirected graph with

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- vertices: the sets $U \in \mathcal{U}$
- edges: $\{U, V\}$ with $U \cap V \neq \emptyset$

-1. One-dimensional continua

Arc-like, circle-like and tree-like continua

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-1. One-dimensional continua

Arc-like, circle-like and tree-like continua

Nerve $N(\mathcal{U})$ of a 1D cover \mathcal{U} : an undirected graph with

- vertices: the sets $U \in \mathcal{U}$
- edges: $\{U, V\}$ with $U \cap V \neq \emptyset$

Examples

- arc-like continua: arc, topologist's sine curve
- circle-like continua: circle, Warsaw circle
- ► tree-like continua: trees, Cantor fan

-1. One-dimensional continua

Knaster buckethandle

-1. One-dimensional continua

Knaster buckethandle

- arc-like
- proper subcontinua: arcs
- indecomposable
 - ► there are no proper subcontinua A, B s.t. $X = A \cup B$

-1. One-dimensional continua

Pseudo-arc



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1. One-dimensional continua

Pseudo-arc



- arc-like
- homogeneous
- proper subcontinua: pseudo-arcs
- hereditarily indecomposable
 - every subcontinuum of the pseudo-arc is indecomposable

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- typical continuum in \mathbb{R}^n
 - in the space of all subcontinua

-1. One-dimensional continua



-1. One-dimensional continua



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- circle-like
- proper subcontinua: pseudo-arcs
- not homogeneous

- 2. Inverse limits
- 2. Inverse limits

1. One-dimensional continua

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- 2. Inverse limits
- 3. Applications
- 4. Open problems

2. Inverse limits

Cartesian product

• (X_n, d_n) (n = 1, 2, ...): metric spaces with diam $(X_n) \le 1$

Cartesian product $X = \prod_{n=1}^{\infty} X_n$

$$X = \{(x_n)_{n=1}^\infty : x_n \in X_n\}$$

Metric *d* on *X*:

$$d((x_n)_n, (y_n)_n) = \sum_{n=1}^{\infty} \frac{d_n(x_n, y_n)}{2^n}$$

• every X_n is a compactum $\Rightarrow X$ is a compactum

• every X_n is a continuum $\Rightarrow X$ is a continuum

2. Inverse limits

Inverse limit

Inverse sequence $\{X_n, f_n\}_{n=1}^{\infty}$

• $f_n: X_{n+1} \to X_n$ continuous

$$X_1 \xleftarrow{f_1} X_2 \xleftarrow{f_2} X_3 \xleftarrow{f_3} \dots \qquad \xleftarrow{f_{n-1}} X_n \xleftarrow{f_n} X_{n+1} \xleftarrow{f_{n+1}} \dots$$

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2. Inverse limits

Inverse limit

Inverse sequence $\{X_n, f_n\}_{n=1}^{\infty}$ • $f_n : X_{n+1} \to X_n$ continuous $X_1 \xleftarrow{f_1} X_2 \xleftarrow{f_2} X_3 \xleftarrow{f_3} \dots \xleftarrow{f_{n-1}} X_n \xleftarrow{f_n} X_{n+1} \xleftarrow{f_{n+1}} \dots$

Inverse limit $X_{\infty} = \lim_{\longleftarrow} (X_n, f_n)$

$$X_{\infty} = \{(x_n) \in \prod X_n : f_n(x_{n+1}) = x_n \text{ for every } n\}$$

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-2. Inverse limits

Inverse limit

Inverse sequence $\{X_n, f_n\}_{n=1}^{\infty}$ • $f_n : X_{n+1} \to X_n$ continuous $X_1 \xleftarrow{f_1} X_2 \xleftarrow{f_2} X_3 \xleftarrow{f_3} \dots \xleftarrow{f_{n-1}} X_n \xleftarrow{f_n} X_{n+1} \xleftarrow{f_{n+1}} \dots$

Inverse limit $X_{\infty} = \lim_{\longleftarrow} (X_n, f_n)$

$$X_{\infty} = \{(x_n) \in \prod X_n : f_n(x_{n+1}) = x_n \text{ for every } n\}$$

every X_n is a compactum ⇒ X_∞ is a compactum
 every X_n is a continuum ⇒ X_∞ is a continuum

-2. Inverse limits

Inverse limit — Example 1 $X_n \equiv [0,1]$ $f_n \equiv f : [0,1] \to [0,1]$ $f(x) = \begin{cases} 2x & \text{if } x \le 1/2\\ 1 & \text{if } x \ge 1/2 \end{cases}$ 1.0 0.8 0.6 0.4 0.2 0.0 0.0 0.2 0.4 0.6 0.8 1.0

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2. Inverse limits

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 $\lim([0,1], f)$: arc

the same is true for every monotone f

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-2. Inverse limits

Inverse limit — Example 2 $X_n \equiv [0,1] \qquad f_n \equiv f : [0,1] \rightarrow [0,1]$ $f(x) = \begin{cases} 2x & \text{if } x \le 1/2 \\ 3/2 - x & \text{if } x \ge 1/2 \end{cases}$



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-2. Inverse limits

Inverse limit — Example 2 $X_n \equiv [0,1] \qquad f_n \equiv f : [0,1] \rightarrow [0,1]$ $f(x) = \begin{cases} 2x & \text{if } x \le 1/2 \\ 3/2 - x & \text{if } x \ge 1/2 \end{cases}$

 $\lim_{\longleftarrow} ([0,1], f): \text{ topologist's sine curve}$



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-2. Inverse limits

Inverse limit — Example 3 $X_n \equiv [0,1] \qquad f_n \equiv f : [0,1] \rightarrow [0,1]$ $f(x) = \begin{cases} 2x & \text{if } x \le 1/2\\ 2-2x & \text{if } x \ge 1/2 \end{cases}$



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2. Inverse limits

Inverse limit — Example 3 $X_n \equiv [0,1] \qquad f_n \equiv f : [0,1] \rightarrow [0,1]$ $f(x) = \begin{cases} 2x & \text{if } x \le 1/2\\ 2 - 2x & \text{if } x \ge 1/2 \end{cases}$

 $\lim_{\leftarrow} ([0,1], f)$: Knaster buckethandle



2. Inverse limits

Inverse limit — Example 4 (Henderson 1964)

$$X_n \equiv [0,1] \qquad f_n \equiv f : [0,1] \rightarrow [0,1]$$

- C^{∞} function constructed as follows:
 - start with $g(x) = x^2$
 - notch its graph with an infinite set of non-intersecting v's which accumulate at (1, 1)



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2. Inverse limits

Inverse limit — Example 4 (Henderson 1964)

 $X_n \equiv [0,1]$ $f_n \equiv f : [0,1] \to [0,1]$

- C^{∞} function constructed as follows:
 - start with $g(x) = x^2$
 - notch its graph with an infinite set of non-intersecting v's which accumulate at (1,1)

 $\lim_{t \to 0} ([0,1], f)$: pseudo-arc



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-2. Inverse limits

One-dimensional continua \equiv inverse limits of graphs

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- X is a one-dimensional continuum
- \iff there is an inverse sequence $\{X_n, f_n\}$ s.t.
 - X_n is a graph
 - $f_n: X_{n+1} \rightarrow X_n$ is a continuous surjection
 - X is homeomorphic to $\lim_{\leftarrow} (X_n, f_n)$

2. Inverse limits

One-dimensional continua \equiv inverse limits of graphs

- X is a one-dimensional continuum
 - \iff there is an inverse sequence $\{X_n, f_n\}$ s.t.
 - X_n is a graph
 - $f_n: X_{n+1} \rightarrow X_n$ is a continuous surjection
 - X is homeomorphic to $\lim_{n \to \infty} (X_n, f_n)$

arc-like continua \equiv circle-like continua \equiv tree-like continua \equiv one-dim. continua \equiv

- \equiv inverse limits of arcs $(X_n = [0, 1])$
- \equiv inverse limits of circles $(X_n = \mathbb{S}^1)$
- tree-like continua \equiv inverse limits of trees
- one-dim. continua \equiv inverse limits of graphs

- -3. Applications
- 3. Applications

1. One-dimensional continua

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- 2. Inverse limits
- 3. Applications
- 4. Open problems

-3. Applications

Applications

Ingram, Mahavier (2011)

Inverse limits have played a crucial role in the development of the theory of continua in the past 50 years or so. Particularly useful is their inherent ability to produce complicated spaces from simple ones...

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-3. Applications

Constructions of complicated continua

Anderson, Choquet (1959); Andrews (1961)

► there is a planar tree-like (arc-like) continuum s.t. every subcontinua A ≠ B of X are not homeomorphic

Cook (1966)

► there is a continuum X s.t. every continuous f : X → X is either constant or identity

Bellamy (1979)

there is a tree-like continuum X without the fixed point property

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-3. Applications

Simple description of continua

Knaster buckethandle

$$X = \varprojlim([0,1],f) \qquad f(x) = \begin{cases} 2x & \text{if } x \le 1/2\\ 2-2x & \text{if } x \ge 1/2 \end{cases}$$

► pseudoarc

$$X = \lim_{\longleftarrow} ([0,1], f) \qquad f(x) = x^2 - \sum_n g_n(x)$$

any arc-like continuum

$$X = \varprojlim([0,1],f_n) \qquad f_n: [0,1] \to [0,1]$$

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-3. Applications

Simplified proofs of properties of continua

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Theorem *Knaster buckethandle is indecomposable.*

-3. Applications

Simplified proofs of properties of continua

Theorem Knaster buckethandle is indecomposable.

Proof.

- ▶ suppose not: $X = A \cup B$, where A, B proper subcontinua
- A_n, B_n : projections of A, B onto the *n*-th coordinate

• A_n, B_n : closed intervals, $A_n \cup B_n = [0, 1]$

- $\blacktriangleright \exists m: A_m \neq [0,1] \neq B_m$
 - otherwise A = X or B = X
- we may assume that $0 \in A_{m+1}$
- then $1/2 \notin A_{m+1}$ and $1 \notin A_{m+1}$
 - otherwise $1 \in A_m \Rightarrow A_m = [0, 1]$
- ▶ hence $1/2, 1 \in B_{m+1}$ and so $B_m = [0, 1]$ a contradiction

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-3. Applications

Connections with dynamics

Handel (1982)

- ► the pseudocircle is an attracting minimal set of a plane C[∞] diffeomorphism
- Barge, Martin (1990)
 - ▶ any arc-like continuum lim([0, 1], f) is a global attractor of a plane homeomorphism

Natural extension of a continuous map $f: X \to X$

 $\sigma_f: \varprojlim(X, f) \to \varprojlim(X, f)$

 $\sigma_f(x_1, x_2, x_3, \dots) = (f(x_1), f(x_2), f(x_3), \dots)$

- always a homeomorphism
- shares many properties/characteristics with f
 - transitivity, minimality, entropy, ...

4. Open problems

4. Open problems

1. One-dimensional continua

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- 2. Inverse limits
- 3. Applications
- 4. Open problems

4. Open problems

Plane fixed point problem

Does a continuous function taking a non-separating plane continuum into itself always have a fixed point?

non-separating plane continuum:

plane continuum the complement of which is connected

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intersection of a nested sequence of disks

4. Open problems

Plane fixed point problem

Does a continuous function taking a non-separating plane continuum into itself always have a fixed point?

- Bing (1969)
 - ... the most interesting outstanding problem in plane topology.
- Hagopian (1997)
 An affirmative answer would provide a beautiful generalization of the 2D version of Brouwer's fixed point theorem

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4. Open problems

Plane fixed point problem

Short history

- Ayres (1930)
- Borsuk (1932, 1954)
- Hamilton (1938, 1951)
- Kelley (1939)
- Cartwright, Littlewood (1951)

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- Bing (1951, 1969)
- Ward (1959)
- Young (1960)
- Bell (1967, 1978)

4. Open problems

Plane fixed point problem

Short history

- Sieklucki (1968)
- Iliadis (1970)
- Hagopian (1971, 1988, 1996, 2007)
- Fugate, Mohler (1977)
- Bellamy (1979)
- Minc (1990, 1999)
- Akis (1999)
- Mayer, Oversteegen, Tymchatyn (2003)

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4. Open problems

Plane fixed point problem

Short history

Blokh, Fokkink, Mayer, Oversteegen, Tymchatyn (2013)



4. Open problems

Auslander's problem

Is there a non-separating plane continuum admitting a minimal dynamical system?

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4. Open problems

Auslander's problem

Is there a non-separating plane continuum admitting a minimal dynamical system?

Connected question:

Is there a tree-like continuum admitting a minimal dynamical system?

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Thanks for your attention!